

# City of Baltimore

City Council City Hall, Room 408 100 North Holliday Street Baltimore, Maryland 21202

# Legislation Details (With Text)

Version: 0 File #: 23-0354 Name: Administrative Procedure Act - Revisions

Type: Ordinance Status: Enacted

File created: In control: **Baltimore City Council** 2/6/2023

On agenda: Final action: 10/15/2023 **Enactment date:** Enactment #: 23-269

Title: Administrative Procedure Act - Revisions

> For the purpose of clarifying the role of the City Administrator, the Department of Law, and the Department of Legislative Reference in reviewing proposed regulations prior to public notice and comment; revising certain provisions regarding the initial submission period for a proposed regulation; revising certain provisions regarding Agency noncompliance with the Administrative Procedure Act; providing for a special effective date; and generally relating to the role of the City Administrator, the

Department of Law, and the Department of Legislative Reference in the review and approval of

proposed regulations.

Sponsors: City Council President (Administration)

Indexes: Act, Administration, Procedure

Code sections:

Attachments: 1. 23-0354~1st Reader, 2. Law 23-0354, 3. 23-0354 DLR, 4. 23-0354 - City Administrator, 5. 2nd

Reader Amendments 23-0354(3)~1st(RLO), 6. 23-0354~3rd Reader, 7. 23-0354 Signed, 8. Ord. 23-

269

Date	Ver.	Action By	Action	Result
10/30/2023	0	Mayor	Signed by Mayor	
9/18/2023	0	Baltimore City Council	Approved and Sent to the Mayor	
8/21/2023	0	Baltimore City Council	3rd Reader, for final passage	
8/21/2023	0	Rules and Legislative Oversight	Recommended Favorably with Amendment	
7/20/2023	0	Rules and Legislative Oversight	Recommended Favorably with Amendment	Pass
7/17/2023	0	Rules and Legislative Oversight	Scheduled for a Public Hearing	
2/9/2023	0	Baltimore City Council	Refer to City Solicitor	
2/9/2023	0	Baltimore City Council	Refer to Baltimore City Administrator	
2/9/2023	0	Baltimore City Council	Refer to Dept. of Legislative Reference	
2/6/2023	0	Baltimore City Council	Assigned	
2/6/2023	0	Baltimore City Council	Introduced	

**Explanation:** Capitals indicate matter added to existing law. [Brackets] indicate matter deleted from existing law.

\* Warning: This is an unofficial, introductory copy of the bill. The official copy considered by the City Council is the first reader copy.

Introductory\*

## City of Baltimore Council Bill

File #: 23-0354, Version: 0

Introduced by: The Council President

At the request of: The Administration (Department of Legislative Reference)

#### A Bill Entitled

An Ordinance concerning

#### **Administrative Procedure Act - Revisions**

For the purpose of clarifying the role of the City Administrator, the Department of Law, and the Department of Legislative Reference in reviewing proposed regulations prior to public notice and comment; revising certain provisions regarding the initial submission period for a proposed regulation; revising certain provisions regarding Agency noncompliance with the Administrative Procedure Act; providing for a special effective date; and generally relating to the role of the City Administrator, the Department of Law, and the Department of Legislative Reference in the review and approval of proposed regulations.

By repealing and re-ordaining, with amendments

Article - General Provisions Sections 4-101(f), 4-205, 4-301, and old sections 4-304 to 4-305 Baltimore City Code (Edition 2000)

By adding

Article - General Provisions Section 4-401(e) to (h) and new section 4-303 Baltimore City Code (Edition 2000)

Section 1. Be it ordained by the Mayor and City Council of Baltimore, That the Laws of Baltimore City read as follows:

#### **Baltimore City Code**

#### **Article - General Provisions**

#### **Title 4. Administrative Procedure Act - Regulations**

Subtitle 1. Definitions; General Provisions

#### § 4-101. Definitions

(e) Notice of Proposed Action.

"Notice of Proposed Action" means the form required for the submission of a proposed regulation to the COBRA Register as required by § 4-301(b) of this title.

(f) Notice of Regulation Development.

"Notice of Regulation Development" means the form created by the Department of Legislative Reference to initiate the regulation promulgation process as required by § 4-205(a)(1) of this title.

(g) Promulgation.

- "Promulgation" means the process of formally putting a regulation into effect.
- (h) Register; COBRA Register.
  - "Register" or "COBRA Register" means the document published by the Department of Legislative Reference containing regulations proposed by units of City government that provides public notice of the proposed regulations for 30 days.
- (i) [(f)] Regulation.
  - (1) *In general*.

"Regulation" means a statement or an amendment of a statement that:

- (i) has general application;
- (ii) has future effect;
- (iii) details or carries out the law that the City or an agency of the City administers; and
- (iv) is in any form, including:
  - (A) a guideline;
  - (B) an executive order;
  - (C) a requirement;
  - (D) a standard;
  - (E) a statement of interpretation; or
  - (F) a statement of policy.
- (2) Exclusions.
  - "Regulation" does not include a statement, policy, directive, or operating procedure of City government that:
    - (i) does not affect directly the rights of the public or the procedures available to the public; and
    - (ii) either:
      - (A) concerns only internal management of City government; or
      - (B) requires compliance by City employees with provisions limited to attendance, conduct, training, discipline, or internal procedure.

## Subtitle 2. Form of Regulations; Submission [To City Solicitor] Before Publication

§ 4-205. Submission [to Solicitor] before publication.

- (a) Initial Submission.
  - (1) Department of Legislative Reference.

Before [publishing notice of] submitting a proposed regulation for inclusion in the Register, an agency shall submit the [regulation to the City Solicitor] following to the Department of Legislative Reference for revision and approval of content and format: [as to the form described in this subtitle and legal sufficiency.]

- (i) the text of a proposed regulation; and
- (ii) a Notice of Regulation Development in the form and with the content required by the Director.
- (2) City Administrator; City Solicitor.

After a proposed regulation is approved by the Department of Legislative Reference as required by paragraph (1) of this subsection, an agency shall then submit the proposed regulation to:

- (i) the City Solicitor for approval as to form and legal sufficiency; and
- (ii) the City Administrator for approval.
- [(b) *Modification*.]

[If, after publication of notice, the agency makes changes in the text of the proposed regulation, the agency shall submit the changes to the City Solicitor for approval as to form and legal sufficiency.]

(b) [(c)] Effect of noncompliance.

If an agency fails to comply with this section or if the Department of Legislative the City Solicitor fails to approve the regulation as specified in subsection (a):

Reference or

- (1) the proposed regulation may not be adopted; and
- (2) if adopted, is not effective.

## Subtitle 3. Notice and Comment Period; Publication in Register; Adoption

### § 4-301. Notice of Proposed Regulation.

(a) In general.

After a proposed regulation has been approved by the Department of Legislative Reference, the City Solicitor, and the City Administrator, as described in § 4-205 of this title, the agency shall [publish] submit the proposed regulation to the Department of Legislative Reference for publication in the Register to solicit [and seek] public comment for at least 30 days.

- (b) [Method of publication] Publication of proposed regulation in Register.
  - [(1) An agency shall publish notice of a proposed regulation by:]

- [(i) posting the notice in a conspicuous place on its website;]
- [(ii) posting a copy of the notice in a public and conspicuous place at its agency offices;]
- [(iii) providing a copy of the notice to each public library in the City;]
- [(iv) providing a copy of the notice to each councilmember; and]
- [(v) providing a copy of the notice to the Department of Legislative Reference.]
- [(2) In addition, the City Solicitor with assistance from Baltimore City Information and Technology shall maintain an online register of all proposed regulations pending throughout City government.]

To have a proposed regulation published in the Register, an agency shall submit to the Department of Legislative Reference:

- (1) the proposed regulation; and
- (2) a Notice of Proposed Action in the form and containing the information required by the Department of Legislative Reference.
- [(c) Contents of notice.]

[The notice required by this section shall include:]

- [(1) a brief description of the proposed regulation;]
- [(2) an online link to the full text of the proposed regulation;]
- [(3) the mailing address and email address to which a person may send written comments; and]
- [(4) if the agency proposes or is otherwise required to hold a public hearing on the proposed regulation, the date, time, and location of that hearing.]
- (c) [(d)] *Publication on website*.

An agency shall publish the text of a proposed regulation on the agency's website no later than 3 business days after the date that the proposed regulation is published in the Register.

## § 4-303. COBRA Register.

(a) In general.

There is a COBRA Register that contains regulations proposed by units of City government.

- (b) Publication and distribution.
  - (1) The Department of Legislative Reference shall publish the Register once calendar month.
  - (2) The Department of Legislative Reference shall distribute the Register each month by:
  - (i) posting a copy of the Register on the Department of Legislative Reference's website; and

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- (ii) providing a copy of the Register to:
  - (A) each City councilmember;
  - (B) each public library in the City;
  - (C) the City Solicitor; and
  - (D) the City Administrator.
- (c) Contents.
  - (1) In general.

Except as provided in paragraph (2) of this subsection, the Register shall contain:

- (i) a publication date;
- (ii) the proposed regulation or regulations; and
- (iii) a Notice of Proposed Action for each proposed regulation.
- (2) Exceptions.

If there are no proposed regulations during a calendar month, the Department of Legislative Reference shall distribute a copy of the Register in accordance with subsection (b)(2) of this section that contains:

- (i) a publication date; and
- (ii) a memorandum stating that no regulations were proposed during the respective calendar month.
- (d) Procedures.

The Department of Legislative Reference shall determine procedures creation, publication, and distribution of the Register.

regarding the

## § 4-304 [4-303]. Review by Committee.

(a) "Committee" defined.

In this section, "Committee" means the Committee on Legislative Investigations, established by City Code Article 1, 1-4 {"Committee on Legislative Investigations"}.

- (b) In general.
  - (1) On receipt of written notification or notifications by the Chair of the Committee from at least 3 councilmembers within 15 days from the receipt of the [notice] Register described in [\_ 4-301 {"Notice of proposed regulation"}] \_ 4-303 {"COBRA Register"}, a 60-day period of review of the proposed regulation shall commence before the Committee.
  - (2) A review under this section may include holding a public hearing before the Committee.

(c) Scope of review.

In its review under this section, the Committee shall consider whether the proposed regulation:

- (1) conforms with the statutory authority of the agency; and
- (2) reasonably complies with the legislative intent of the statute under which the regulation was proposed.
- (d) Effect of review period.
  - (1) During the Committee's 60-day review period, the proposed regulation may not be adopted nor may it become effective.
  - (2) On the expiration of the 60-day period, the agency may proceed with the formal adoption of the proposed regulation as provided in this title.

## § 4-305 [4-304]. Adoption or re-publication.

- (a) [Submittal] Submission to City Solicitor.
  - (1) After the notice and comment period described in § 4-301 {"Notice of proposed regulation"} of this subtitle has expired, if an agency makes changes to the text of the proposed regulation, the agency shall submit the changed proposed regulation to the City Solicitor.
  - (2) The City Solicitor shall determine whether the change in the proposed regulation is a material change.
- (b) Determination of material change.

If the City Solicitor determines that an agency has made a material change to the text of a proposed regulation, the agency may not adopt the proposed regulation unless it is proposed anew, re-published, and adopted in accordance with the requirements of this title.

(c) No change or determination of non-material change.

If, after the notice and comment period, the agency has not made a change in the proposed regulation or if the City Solicitor determines that a change in the proposed regulation is not material, the agency may adopt and implement the proposed regulation only after:

- (1) securing the written approval of the agency head; and
- (2) filing the regulation with the Director for COBRA codification.
- (d) *Failure to adopt*.

If an agency fails to adopt a proposed regulation within 180 days after the date [it initially published notice of the proposed regulation] the proposed regulation was initially published in the register, the regulation shall be deemed withdrawn and may not be adopted unless proposed anew and adopted in accordance with the requirements of this subtitle.

### § 4-306 [4-305]. Emergency regulations.

(a) "State of emergency" defined.

In this section, "state of emergency" means a period of time in which:

- (1) a proclamation has been issued by the Governor declaring a state of emergency under Title 14, Subtitle 3 of the State Public Safety Article {"Governor's Emergency Powers"};
- (2) a proclamation has been issued by the Governor declaring a catastrophic health emergency under Title 14, Subtitle 3A of the State Public Safety Article {"Governor's Health Emergency Powers"}; or
- (3) an order or proclamation has been issued by the Mayor declaring a state of emergency under § 14-111 {"Local state of emergency"} of the State Public Safety Article.
- (b) *Temporary regulations*.
  - (1) *In general*.

During a state of emergency, an agency may suspend the provisions of this [subtitle] title and adopt temporary regulations if:

- (i) the underlying cause of the state of emergency requires its adoption; and
- (ii) the public interest will be materially harmed if the regulation does not take effect immediately.
- (2) *Effective date*.

Any temporary regulation adopted under this section is effective on:

- (1) approval by the City Solicitor for form and legal sufficiency; and
- [(2) filing with the Director.]
- (2) approval by the Department of Legislative Reference.
- (3) Expiration.
  - (i) Except as provided in subparagraph (ii) of this paragraph, any temporary regulation adopted under this section expires and no longer has legal effect on the 90th day after the state of emergency has been lifted.
  - (ii) If, within the 90 days after a state of emergency has been lifted, an agency submits a temporary regulation to the City Solicitor and the Department of Legislative Reference as set forth in § 4-205 {"Submission [to Solicitor] Before Publication"} and § 4-301 {"Notice of Proposed Regulation"} of this title and otherwise follows the notice and publication procedures set forth in this title to adopt that regulation as permanent, the regulation does not expire as stated in subparagraph (i) of this paragraph.

## **Subtitle 4. Codification**

§ 4-401. [Submission to DLR Director] Effective date of regulations.

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Except as provided in § 4-306 {"Emergency regulations"} of this title, if the agency proposing a regulation has fulfilled the requirements set forth in § 4-205 {"Submission Before Publication"} and § 4-301 {"Notice of Proposed Regulation"} of this title, [A] a regulation is effective on submission to the Director for COBRA codification, unless a later effective date is specified by law or in the adopted regulation.

Section 2. And be it further ordained, That this Ordinance takes effect on the date it is enacted.